

Practitioner's Docket No.: 830\_011

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2008 OCT -6 PM 3:

In re the application of: REYNOLDS, Richard, BROOM, Simon and BARRETT, Paul

Ser. No.: 10/758,053

Group Art Unit: 2857

Filed: January 15, 2004

Examiner: Jeffrey R. WEST

Confirmation No.: 5101

Title: QUALITY ASSESSMENT TOOL

Mail Stop 16

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Mail Stop 16, Director of U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on October 2, 2008 under "EXPRESS MAIL" mailing label number EV 59445 7325 US

Janet M. Stevens

## REQUEST FOR REFUND

1. Refund Request

This request for refund is made within two years of the date fees were paid in this application. The Applicant is a small entity. The fees were paid as a large entity instead of a small entity via our Deposit Account on two separate occasions:

- (1) on April 17, 2007 in the amount of \$120.00 (should have paid \$60.00); and
- (2) on March 25, 2008 in the amount of \$460.00 (should have paid 230.00).

2. Fees Paid For Which Refund Requested

Date Charged	Fee Code	37 CFR	Description	Amount of Refund Requested
<input checked="" type="checkbox"/> Extension of term				
04/17/2007	1251/2251	1.17(a)(1)	<input checked="" type="checkbox"/> one-month extension	\$60.00
03/25/2008	1252/2252	1.17(a)(2)	<input checked="" type="checkbox"/> two-month extension	\$230.00

A copy of the Deposit Account Statement for each of the above-identified transactions is attached. Applicants respectfully request a refund for the excess fees paid.


**3. Manner of Refund**

Please make refund by:

- ☒ crediting Deposit Account No. 50-1446.
- ☐ refunding payment.

Should the appropriate PTO representative have any questions or concerns in connection with this matter, he/she is requested to contact Applicants' undersigned representative.

Respectfully submitted,



October 2, 2008

Date

Kevin C. Brown  
Reg. No. 32,402

KCB:jms

Attachment:

Deposit Account Statement for April 17, 2007

Deposit Account Statement for March 25, 2008

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 25191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

## Deposit Account Statement

Page 3 of 5

04/09 2387 11724049	815_075	1201	\$400.00	\$18,439.00
04/09 2388 11724049	815_075	1051	\$130.00	\$18,309.00
04/09 386 11724049	815_075	8021	\$40.00	\$18,269.00
04/09 3103 11697805	791_236 DIV	1011	\$300.00	\$17,969.00
04/09 3104 11697805	791_236 DIV	1111	\$500.00	\$17,469.00
04/09 3105 11697805	791_236 DIV	1311	\$200.00	\$17,269.00
04/10 4493 11733336	939_088	1011	\$300.00	\$16,969.00
04/10 4494 11733336	939_088	1111	\$500.00	\$16,469.00
04/10 4495 11733336	939_088	1311	\$200.00	\$16,269.00
04/10 750 11733336	939_088	8021	\$40.00	\$16,229.00
04/11 500 29267856	931_042 DES	8021	\$40.00	\$16,189.00
04/11 505 29267857	931_045 DES	8021	\$40.00	\$16,149.00
04/11 507 29267911	931_046 DES	8021	\$40.00	\$16,109.00
04/11 509 29267853	931_047 DES	8021	\$40.00	\$16,069.00
04/11 512 29267854	931_048 DES	8021	\$40.00	\$16,029.00
04/11 515 29267860	931_049 DES	8021	\$40.00	\$15,989.00
04/11 517 29267852	931_051 DES	8021	\$40.00	\$15,949.00
04/11 518 29267855	931_050 DES	8021	\$40.00	\$15,909.00
04/11 1965 78686675	925_001	7004	\$300.00	\$15,609.00
04/11 4346 09872633	892_018	1251	\$120.00	\$15,489.00
04/12 8 E-REPLENISHMENT		9203	-\$8,000.00	\$23,489.00
04/12 596 60910532	814_015 PRO	8021	\$40.00	\$23,449.00
04/16 18 10742726	8009_7004 CON	2253	\$510.00	\$22,939.00
04/16 140 11735025	8009_7004 CON2	4011	\$75.00	\$22,864.00
04/16 141 11735025	8009_7004 CON2	2111	\$250.00	\$22,614.00
04/16 142 11735025	8009_7004 CON2	2311	\$100.00	\$22,514.00
04/16 426 78606126	835_020	7004	\$150.00	\$22,364.00
04/16 4186 11100317	920_026	1202	\$100.00	\$22,264.00
04/16 4187 11100317	920_026	1253	\$1,020.00	\$21,244.00
04/17 116 10758053	830_011	1251	\$120.00	\$21,124.00
04/17 22 E-REPLENISHMENT		9203	-\$7,000.00	\$28,124.00
04/17 3161 11175959	920_041	1253	\$1,020.00	\$27,104.00
04/18 220 PCT/US07/66760	881_044 WO	1601	\$300.00	\$26,804.00
04/18 221 PCT/US07/66760	881_044 WO	1701	\$1,008.00	\$25,796.00
04/18 223 PCT/US07/66760	881_044 WO	1704	\$2,059.00	\$23,737.00
04/18 224 PCT/US07/66760	881_044 WO	8007	\$40.00	\$23,697.00
04/18 222 PCT/US07/66760	881_044 WO	1703	\$300.00	\$23,397.00
04/18 419 11736230	881_044	1011	\$300.00	\$23,097.00
04/18 421 11736230	881_044	1311	\$200.00	\$22,897.00
04/18 423 11736230	881_044	1201	\$200.00	\$22,697.00
04/18 422 11736230	881_044	1202	\$1,200.00	\$21,497.00
04/18 420 11736230	881_044	1111	\$500.00	\$20,997.00
04/18 111 11736230	881_044	8021	\$40.00	\$20,957.00
04/18 1077 11736311	788_166	1011	\$300.00	\$20,657.00
04/18 1078 11736311	788_166	1111	\$500.00	\$20,157.00
04/18 1079 11736311	788_166	1311	\$200.00	\$19,957.00
04/18 189 11736311	788_166	8021	\$40.00	\$19,917.00
04/18 4598 11577449	885_066	1631	\$300.00	\$19,617.00
04/18 4599 11577449	885_066	1642	\$400.00	\$19,217.00
04/18 4600 11577449	885_066	1633	\$200.00	\$19,017.00

## Deposit Account Statement

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03/19 13691 12031082	888_017	1201	\$630.00	\$42,595.00
03/19 13813 12031082	888_017	8021	\$40.00	\$42,555.00
03/19 14165 11582138	885_054	1202	\$50.00	\$42,505.00
03/20 739 12067380	920_166	1631	\$310.00	\$42,195.00
03/20 740 12067380	920_166	1642	\$410.00	\$41,785.00
03/20 741 12067380	920_166	1633	\$210.00	\$41,575.00
03/20 742 12067380	920_166	1615	\$550.00	\$41,025.00
03/20 909 12067380	920_166	8021	\$40.00	\$40,985.00
03/20 10485 11255782	958_001	2251	\$60.00	\$40,925.00
03/24 9916 12053758	815_105	1011	\$310.00	\$40,615.00
03/24 9919 12053758	815_105	1311	\$210.00	\$40,405.00
03/24 9918 12053758	815_105	1111	\$510.00	\$39,895.00
03/24 9990 12053758	815_105	8021	\$40.00	\$39,855.00
03/24 10548 12053791	815_106	1011	\$310.00	\$39,545.00
03/24 10549 12053791	815_106	1111	\$510.00	\$39,035.00
03/24 10550 12053791	815_106	1311	\$210.00	\$38,825.00
03/24 10653 12053791	815_106	8021	\$40.00	\$38,785.00
03/25 387 11106346	920_028	1253	\$1,050.00	\$37,735.00
03/25 388 11106346	920_028	1806	\$180.00	\$37,555.00
03/25 34 29305171	931_049 CON	1112	-\$100.00	\$37,655.00
03/25 35 29305171	931_049 CON	1312	-\$130.00	\$37,785.00
03/25 33 29305171	931_049 CON	1012	-\$210.00	\$37,995.00
03/25 36 29305171	931_049 CON	2012	\$105.00	\$37,890.00
03/25 37 29305171	931_049 CON	2112	\$50.00	\$37,840.00
03/25 38 29305171	931_049 CON	2312	\$65.00	\$37,775.00
03/25 40 29305175	931_051 CON	1012	-\$210.00	\$37,985.00
03/25 42 29305175	931_051 CON	1312	-\$130.00	\$38,115.00
03/25 41 29305175	931_051 CON	1112	-\$100.00	\$38,215.00
03/25 43 29305175	931_051 CON	2012	\$105.00	\$38,110.00
03/25 44 29305175	931_051 CON	2112	\$50.00	\$38,060.00
03/25 45 29305175	931_051 CON	2312	\$65.00	\$37,995.00
03/25 15299 11885700	920_133	1617	\$130.00	\$37,865.00
03/25 15300 11885700	920_133	1618	\$130.00	\$37,735.00
03/25 15566 11885700	920_133	8021	\$40.00	\$37,695.00
03/25 16089 10919021	839 196 CON	1253	\$1,050.00	\$36,645.00
→ 03/25 16327 10758053	830_011	1252	\$460.00	\$36,185.00 ←
03/26 12380 11972665	885_083	1011	\$310.00	\$35,875.00
03/26 12381 11972665	885_083	1111	\$510.00	\$35,365.00
03/26 12382 11972665	885_083	1311	\$210.00	\$35,155.00
03/26 12383 11972665	885_083	1051	\$130.00	\$35,025.00
03/26 12544 11972665	885_083	8021	\$40.00	\$34,985.00
03/26 12752 12063915	920_159	1617	\$130.00	\$34,855.00
03/26 12981 12063915	920_159	8021	\$40.00	\$34,815.00
03/27 14185 12055659	791_376	1011	\$310.00	\$34,505.00
03/27 14187 12055659	791_376	1111	\$510.00	\$33,995.00
03/27 14197 12055659	791_376	1202	\$600.00	\$33,395.00
03/27 14190 12055659	791_376	1311	\$210.00	\$33,185.00
03/27 14397 12055659	791_376	8021	\$40.00	\$33,145.00
03/27 15361 11545712	791_292	1801	\$810.00	\$32,335.00
03/27 27367 11884394	920_127	1617	\$130.00	\$32,205.00

## Electronic Patent Application Fee Transmittal

**Application Number:**

10758053

**Filing Date:**

15-Jan-2004

Adjustment date: 10/07/2008 HDESTA1  
04/17/2007 INTEFSW 00000068 501446 10758053  
01 FC:1251 120.00 CR

**Title of Invention:**

Quality assessment tool

10/07/2008 HDESTA1 00000054 501446 10758053  
01 FC:2251 60.00 DA

**First Named Inventor/Applicant Name:**

Richard Reynolds

**Filer:**

Stephen Burr/Tara Preston

**Attorney Docket Number:**

830\_011

Filed as Large Entity

### Utility Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
<b>Miscellaneous-Filing:</b>				
<b>Petition:</b>				
<b>Patent-Appeals-and-Interference:</b>				
<b>Post-Allowance-and-Post-Issuance:</b>				
<b>Extension-of-Time:</b>				
Extension - 1 month with \$0 paid	1251	1	120	120

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**Application Number:**

10758053

**Filing Date:**

15-Jan-2004

Adjustment date: 10/07/2008 HDESTA1  
03/25/2008 INTEFSW 00010803 501446 10758053  
01 FC:1252 460.00 CR

**Title of Invention:**

Quality assessment tool

10/07/2008 HDESTA1 00000053 501446 10758053  
01 FC:2252 230.00 DA

**First Named Inventor/Applicant Name:**

Richard Reynolds

**Filer:**

Kevin C. Brown/Tara Preston

**Attorney Docket Number:**

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<b>Post-Allowance-and-Post-Issuance:</b>				
<b>Extension-of-Time:</b>				
Extension - 2 months with \$0 paid	1252	1	460	460